

# **CONFLICT OF INTEREST CODE** **OF THE** **CAPITAL AREA REGIONAL TOLLING AUTHORITY**

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Capital Area Regional Tolling Authority** ("**Authority**").

Individuals holding designated positions shall file their statements of economic interests with the **Clerk of the Board** as the Authority's Filing Officer/Official. The **Clerk of the Board** shall make all statements available for public inspection and reproduction. (Gov. Code Section 81008.) All statements will be retained by the **Authority**.

**APPENDIX**  
**CONFLICT OF INTEREST CODE**  
**OF THE**  
**CAPITAL AREA REGIONAL TOLLING AUTHORITY**  
**PART “A”**

**OFFICIALS WHO MANAGE PUBLIC INVESTMENTS**

Authority Officials who manage public investments, as defined by 2 Cal. Code of Regs. § 18700.3(b), are NOT subject to the Capital Area Regional Tolling Authority’s Code, but must file disclosure statements under Government Code Section 87200 et seq. [Regs. § 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments<sup>1</sup>:

Members of the Board of Directors and their Alternates

Investment Consultant

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<sup>1</sup> Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

# **DESIGNATED POSITIONS**

## **GOVERNED BY THE CONFLICT OF INTEREST CODE**

<b><u>DESIGNATED POSITIONS'</u> <u>TITLE OR FUNCTION</u></b>	<b><u>DISCLOSURE CATEGORIES</u> <u>ASSIGNED</u></b>
Legal Counsel	1
Consultants and New Positions	*

Note: The position of Legal Counsel is filled by an outside consultant, but acts in a staff capacity.

\*Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Members of the Board of Directors may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Members of the Board of Directors' determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code (Gov. Code Sec. 81008).

## **PART “B”**

### **DISCLOSURE CATEGORIES**

The disclosure categories listed below identify the types of economic interests that the Designated Position must disclose for each disclosure category to which the designated is assigned. “Investment” means a financial interest in any business entity (including a consulting business or other independent contracting business) that is located in or doing business in the jurisdiction, planning to do business in the jurisdiction, or has done business during the previous two years in the jurisdiction of the Authority.

Category 1: All investments, business positions in business entities, and sources of income, including receipt of gifts, loans and travel payments. Real property located within the jurisdiction as well as real property within two miles of the real property owned or used by the Authority or the potential site.